



# Consumer genetics and third-party interpretation tools

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BH 444/544

January 11, 2021



# 23andMeow?



# Poll everywhere

- Respond at **PollEv.com/sarahcn**



- Text **SARAHCN** at **22333** once to join



# W Warm-up: what's your preferred morning beverage?

Tea

Coffee

Soda

Orange juice

Other





# A consumer genetic test can return information about

close family relationships **A**

population history **B**

disease risks **C**

non-medical traits **D**

all of the above **E**

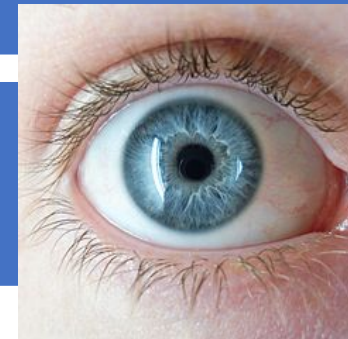
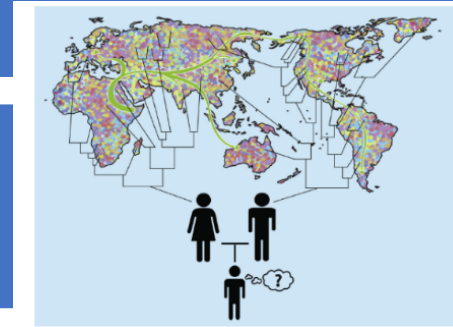
# “Many orientedness” of DNA

Family relationships

Ancestry/population history

Disease risk/susceptibility

Non-medical traits



# Outline



Overview of DTC  
genetic testing



Potential harms and  
benefits



Overview of third-party  
tools

# What is direct-to-consumer genetic testing?

- Genetic testing available without physician intermediary
- Hundreds of companies now offer
- Can report on multiple categories ->
- In part enabled by advancements in genotyping technology (microarrays or “SNP chips” in early 2000’s)



Category	Number of companies	Percentage
Ancestry	74	30%
Athletic	38	15%
Child talent	4	2%
Matchmaking	3	1%
Surreptitious	34	14%
Nutrigenetic	74	30%
Non-legal paternity	88	36%
Legal paternity	83	34%
Genetic relatedness	92	37%
Carrier	27	11%
Only health testing	31	13%
Total companies analyzed	246	

# Delivering the promise of the HGP

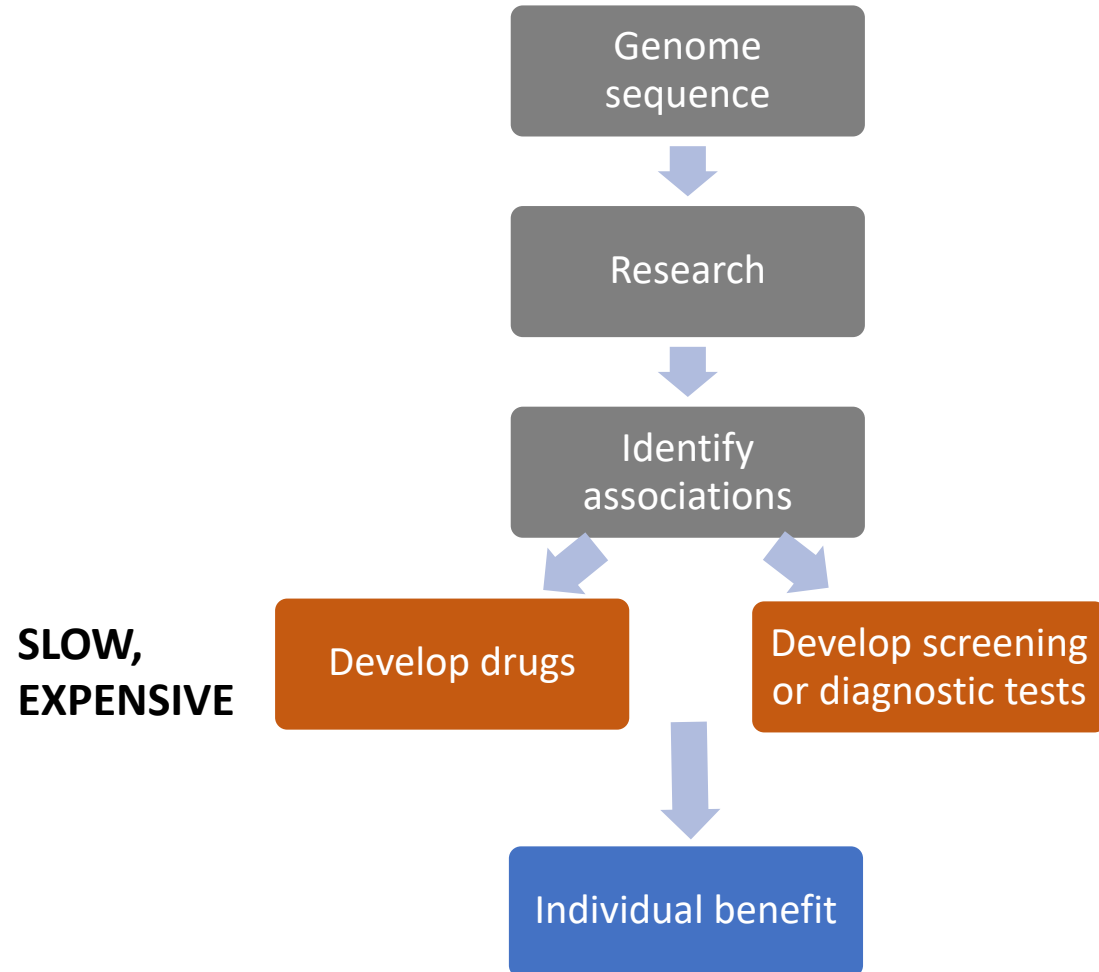
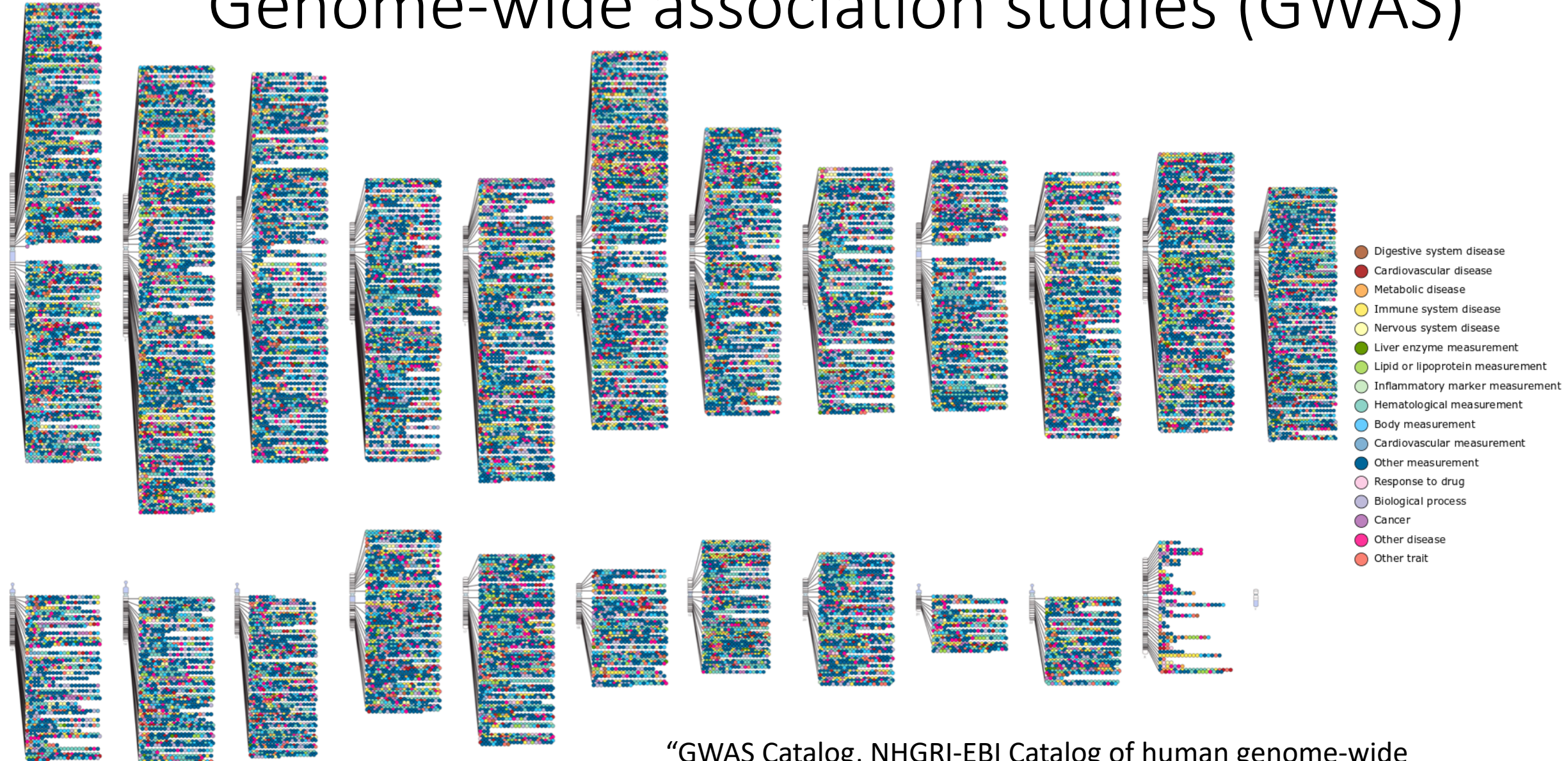


Image from Collins (2011) in *Science*



# Genome-wide association studies (GWAS)



“GWAS Catalog, NHGRI-EBI Catalog of human genome-wide association studies,” <https://www.ebi.ac.uk/gwas>



# DTC genetic testing process

## Customer

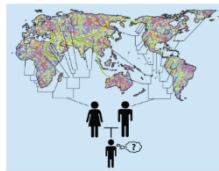
(1) Mail saliva sample using company-provided spit kit

## DTC company

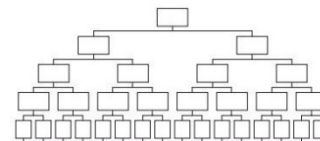
(2) Extracts customer's DNA  
(3) Measures (genotypes) DNA at ~1M variants (SNPs)  
(4) Analyzes **subset** of genotyped variants to provide **interpreted reports**:



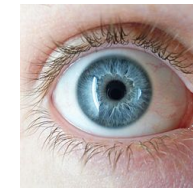
*Genetic ancestry*



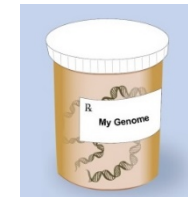
*Genealogy*



*Traits*



*Health*



*Diet & fitness*



(5) Provides to customer:

- **interpreted reports**
- **raw data file of all ~1M measured variants**

# Growing popularity of DTC testing

## Everybody's doing DNA tests

Total number of people tested by consumer genetics companies through January 2019, in millions

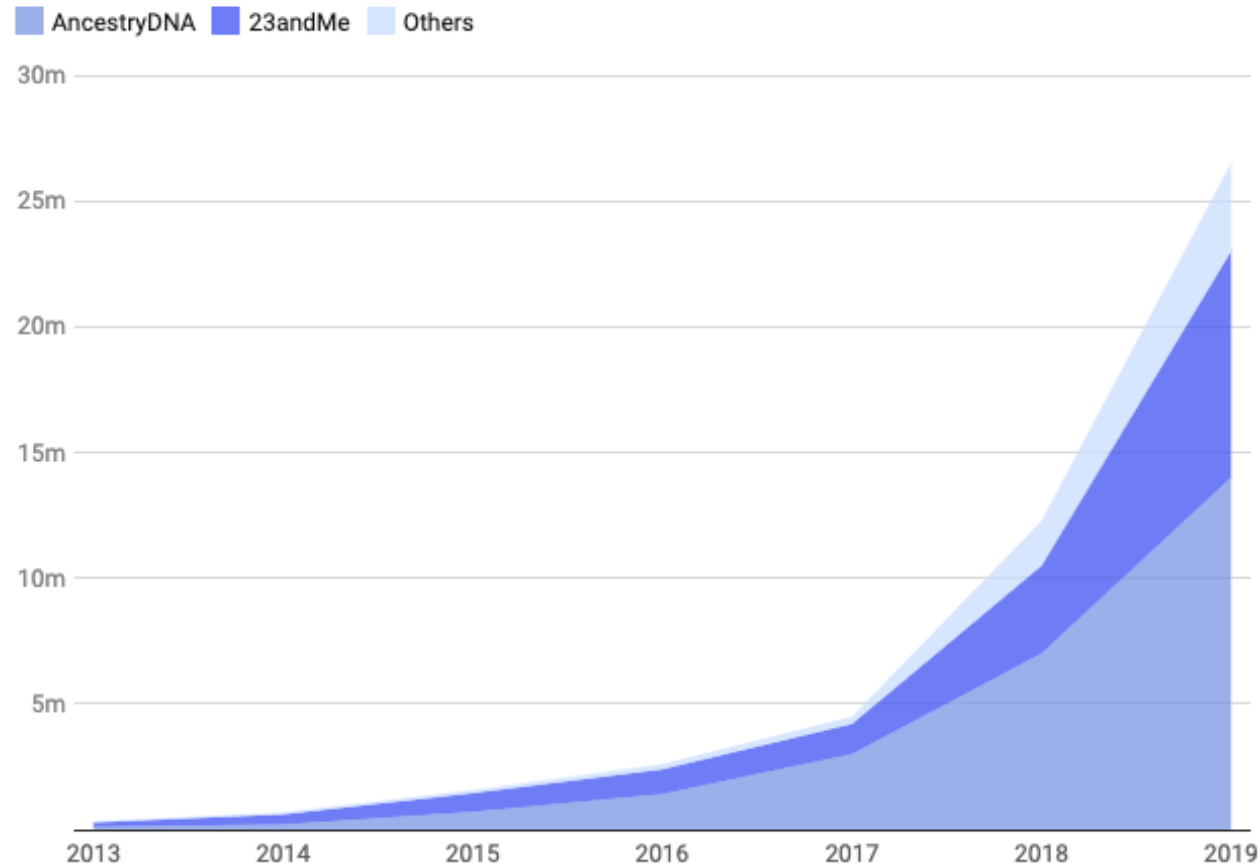


Chart: MIT Technology Review • Source: Company reports, Leah Larkin, ISOGG • Created with Datawrapper

- 2019 industry estimates
  - 26M total tested
    - 14M Ancestry DNA
    - 9M 23andMe
- Tests purchased in 2018 outnumbered all previous years combined

# Concerns about DTC genetic testing

## Scientific

- Analytic validity: are DNA variants measured (genotyped) accurately? (*analytic validity*)
- Are interpretations valid?
  - For health results, are the variants linked to disease as claimed by the company? (*clinical validity*)



## Regulatory

- Who regulates and how?
  - Food and Drug Administration (FDA)
  - Federal Trade Commission (FTC)
  - Laboratory regulation (CLIA)
- Adequate consumer protection?



# DTC companies not completely unregulated

06-05-18

## The FTC is investigating DNA firms like 23andMe and Ancestry over privacy

As the DNA testing market has exploded—worth approximately \$99 million in 2017 and expected to increase to \$310 million by 2022—concerns have also grown about the use of genetic data.




Charles E.  
**SCHUMER**  
UNITED STATES SENATOR FOR NEW YORK

[Newsroom](#) / [Press Releases](#)

11.26.17

**SCHUMER REVEALS: POPULAR AT HOME DNA TEST KITS ARE PUTTING CONSUMER PRIVACY AT GREAT RISK, AS DNA FIRMS COULD SELL YOUR MOST PERSONAL INFO & GENETIC DATA TO ALL-COMERS; SENATOR PUSHES FEDS TO INVESTIGATE & ENSURE FAIR PRIVACY STANDARDS FOR ALL DNA ?KITS**



DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

Food and Drug Administration  
10903 New Hampshire Avenue  
Document Control Center – WO66-G609  
Silver Spring, MD 20993-0002

November 02, 2017

23andMe, Inc.  
% Ms. Lisa Charter  
Director, Regulatory Affairs and Quality Assurance  
899 West Evelyn Avenue  
Mountain View, CA 94041

Re: DEN160026  
23andMe Personal Genome Service (PGS) Test  
Evaluation of Automatic Class III Designation – *De Novo* Request  
Regulation Number: 21 CFR 866.5950  
Regulation Name: Genetic Health Risk Assessment System

# Ethical concerns with DTC testing



## Individuals

- Psychosocial impacts
- Privacy and data usage
- Effects on families
- Lack of informed consent

## Systems

- Drain on health care systems
- Unequal access
- Genetic discrimination

# Potential harms but also potential benefits

## Plural utilities

- Lack of medical utility doesn't preclude other personal utilities of genetic info

## Enhanced autonomy

- Individuals can choose what information to access about themselves and when

## Increasing scientific and genetic literacy

- Customers can engage with research, may pique their interest in science, genetics

## “Data play”

- Is “recreational genomics” such a bad thing?



# Autonomy vs benefit

Should individuals be able to pursue DTC testing even when benefits may not be clear or immediate?

Are choices autonomous if caveats are not fully communicated or understood?



Image: [https://www.pharmacytoday.org/article/S1042-0991\(20\)30212-7](https://www.pharmacytoday.org/article/S1042-0991(20)30212-7)

# Benefit at aggregate or individual level

- DTC testing takes insights at population or group level and applies them to individuals
- Genetic info clearly valuable in **aggregate**
- Value at **individual** level less clear (for now)
- Feedback loop of discovery
  - DTC companies also perform research on aggregated customer data to make new discoveries and improve products....



# DTC company research arms

Since 2010, 23andMe has published 172 papers

23andMe customers who participate in research are helping us advance scientific knowledge in revolutionary new ways. Each discovery helps pave the way for advances in medicine.

JULY 2020

The design of a virtual Parkinson's disease cohort study

Collaboration with University of Rochester

Published in Journal of PD

JUNE 2020

23andMe Maps the Way We Eat

Led by 23andMe

Published in Public Health Nutrition

JUNE 2020

Study suggests that partial LRRK2 inhibition may not have adverse effects

Collaboration with the Broad Institute

Published in Nature

<https://www.23andme.com/publications/>

## AJHG

Volume 96, Issue 1, 8 January 2015, Pages 37-53



Article

### The Genetic Ancestry of African Americans, Latinos, and European Americans across the United States

Katarzyna Bryc<sup>1, 2</sup>, Eric Y. Durand<sup>2</sup>, J. Michael Macpherson<sup>3</sup>, David Reich<sup>1, 4, 5</sup>, Joanna L. Mountain<sup>2</sup>

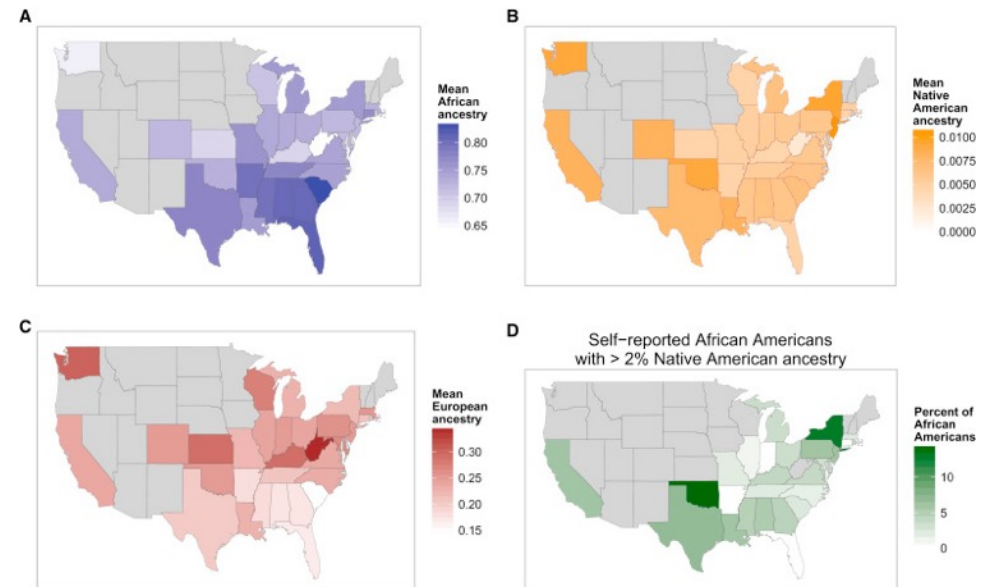


Figure 1. The Distribution of Ancestry of Self-Reported African Americans across the US

# Discussion question

In Zoom breakout rooms of 2-3, discuss the following :

**Would you be interested in doing a DTC genetic test?  
Why or why not?**

After ~5 minutes discussion, we will come back together as a group and have the small discussion groups report out



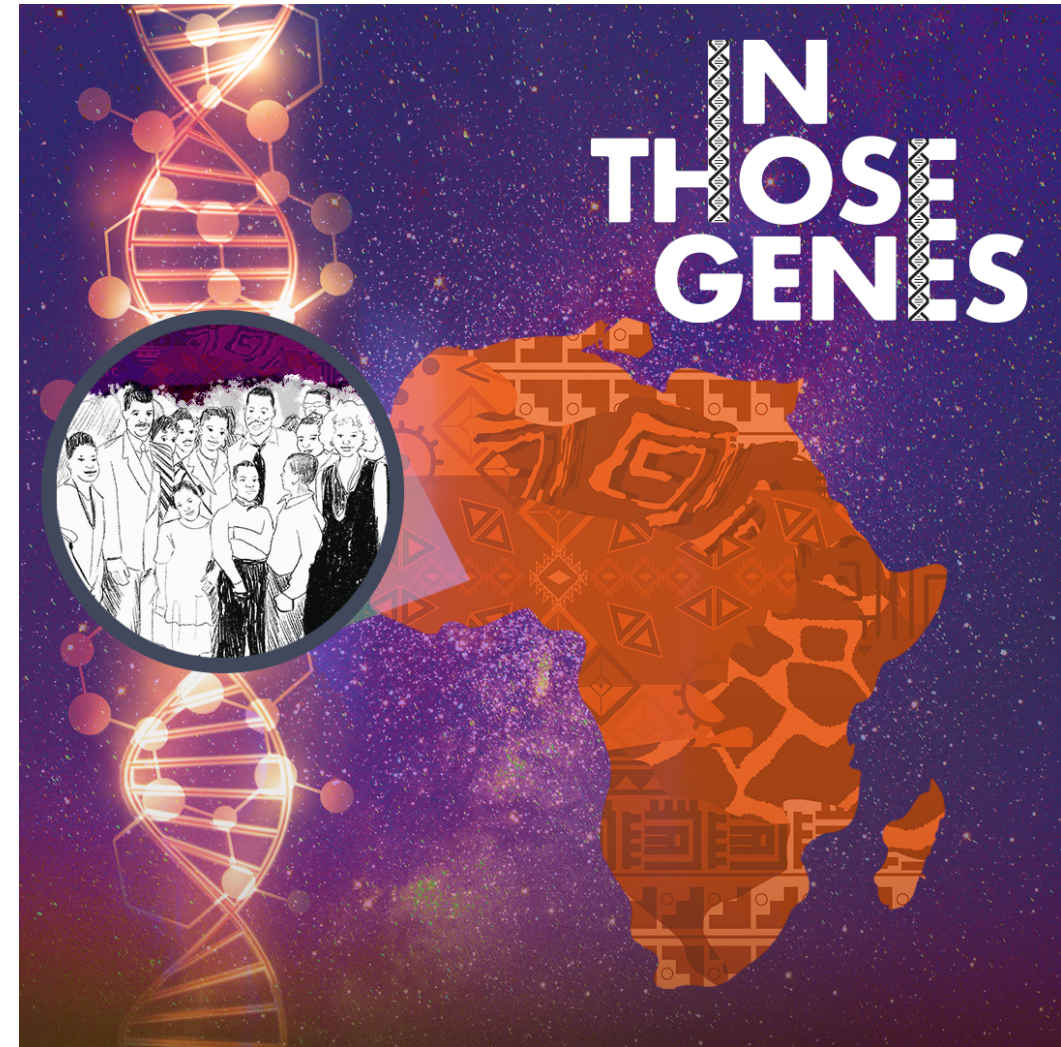
# Podcast excerpt

“A hip-hop inspired podcast that uses genetics to uncover the lost identities of African descended Americans through the lens of Black culture.”

Created by Dr. Janina Jeff  
ASHG Advocacy Award 2020

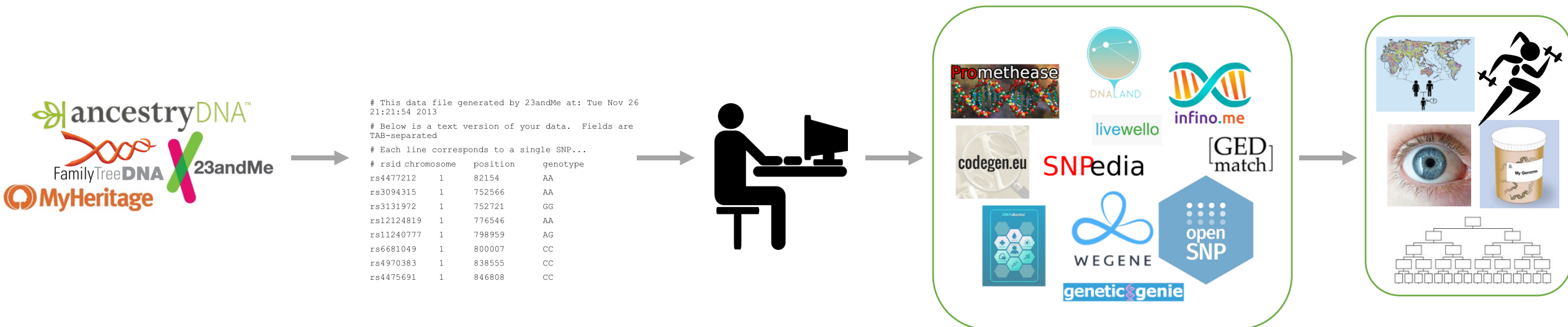


Episode context – Janina interviewing Black elders in her home community of New Orleans on their perception of genetic testing



# Third-party interpretation tools

- Online tools to analyze previously generated genetic data
- Do not require access to biospecimens or genotyping facilities
- Accept DTC “raw” genetic data files
- Similar to DTC companies, can return info across range of categories:
  - Genetic ancestry, genealogy, traits, health, diet & fitness





# Raw/uninterpreted genetic data

```
# This data file generated by 23andMe at: Tue Nov 26  
21:21:54 2013
```

```
# Below is a text version of your data.  Fields are  
TAB-separated
```

```
# Each line corresponds to a single SNP...
```

```
# rsid chromosome    position    genotype
```

<i>each row is a genetic variant</i>	rs4477212	1	82154	AA	<i>genotype at these variants</i>
	rs3094315	1	752566	AA	
	rs3131972	1	752721	GG	
	rs12124819	1	776546	AA	
	rs11240777	1	798959	AG	
	rs6681049	1	800007	CC	
	rs4970383	1	838555	CC	
	rs4475691	1	846808	CC	

*...plus ~one million more rows...*

# What's at stake?

- Third-party interpretation (TPI) tools appear to be unregulated
- Is this a concern? Helpful to have:
  - Deeper knowledge of what tools exist and how they work
  - Empirical evidence of potential harms and benefits to users of these tools
  - Understanding of how technical and scientific components should inform potential responses from policymakers



# TPI may lead to false positive health results

NEWS 04/03/2018 05:45 am ET | Updated 5 days ago

## Home Genetic Tests May Be Riddled With Errors, And Companies Aren't Keeping Track

Before you sign up for a home DNA test, learn more from this couple's story.



By Anna Almendrala

...

They also exported their data to [Promethease](#), a third-party DNA analysis company that links a person's DNA report to published research on their particular genes, summarizing the potential medical implications.

...

When the results came back, Kennerly-Shah was in the clear. She did not have the genetic mutations linked to either condition. Promethease had turned up a false positive result.

The New York Times

Opinion

## 23andMe Said He Would Lose His Mind. Ancestry Said the Opposite. Which Was Right?

By Laura Hercher

Ms. Hercher is a genetic counselor.

Sept. 15, 2018



The New York Times

## *The Online Gene Test Finds a Dangerous Mutation. It May Well Be Wrong.*

Third-party analysis of raw DNA is not as rigorous as that done in a certified laboratory. But many consumers don't understand that their results are not conclusive.



By Gina Kolata

July 2, 2018



# TPI tools may be used by law enforcement



Business & Policy Technology Research Diagnostics Disease Areas Applied Markets Resources

Home » Tools & Technology » Microarrays & Multiplexing » Forensic Genomics Market Advances Due to Consumer Databases, Technology

## Forensic Genomics Market Advances Due to Consumer Databases, Technology Innovation

Jan 09, 2020 | Justin Petrone

Premium Save for later

NEW YORK – As 2020 dawns, the forensic genomics market is poised for growth as companies aim to harness the power of consumer databases coupled with advances in sequencing.



For some industry observers, the health market has often been seen as the next logical step for companies that offer consumer genomics services. 23andMe served the market from the launch of its Personal Genome Service in 2007, and Ancestry and MyHeritage last year began offering their users health information in addition to ancestry results.

## We're Entering a New Phase in Law Enforcement's Use of Consumer Genetic Data

The favorite database for solving cold cases is now owned by a for-profit company. This could change everything.

By NILA BALA DEC 19, 2019 • 7:30 AM

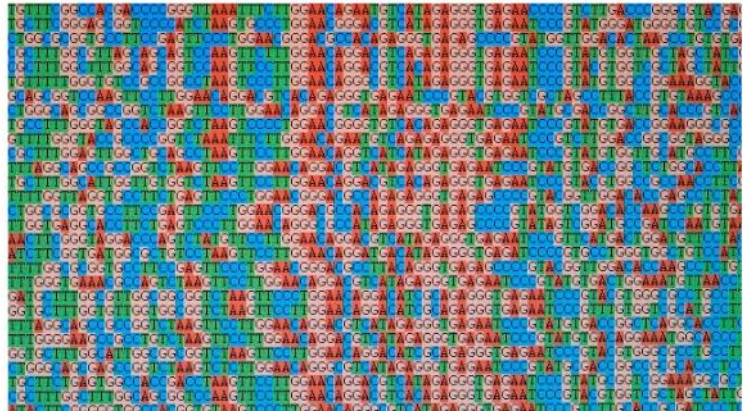


FEBRUARY 4, 2020



## About half of Americans are OK with DNA testing companies sharing user data with law enforcement

BY ANDREW PERRIN



(alanphilips via Getty Images)

## SCIENCE How a Genealogy Website Led to the Alleged Golden State Killer

Powerful tools are now available to anyone who wants to look for a DNA match, which has troubling privacy implications.

SARAH ZHANG APRIL 27, 2018



### MORE STORIES

Solving a Murder Mystery With Ancestry Websites

CIARA O'ROURKE

The False Promise of DNA Testing

MATTHEW SHAER

23andMe Wants Its DNA Data to Be Less White

SARAH ZHANG

The New York Times

## Your DNA Profile is Private? A Florida Judge Just Said Otherwise

Privacy experts say a warrant granted in Florida could set a precedent, opening up all consumer DNA sites to law enforcement agencies across the country.



# What is investigative genetic genealogy (IGG)?

“...the science of using genetic and genealogical methods to generate leads for law enforcement entities investigating crimes and identifying human remains”

- International Society of Genetic Genealogy Wiki,  
[https://isogg.org/wiki/Investigative\\_genetic\\_genealogy\\_FAQ](https://isogg.org/wiki/Investigative_genetic_genealogy_FAQ)

Also referred to as *forensic genetic genealogy (FGG)*



# Key features of IGG/FGG

When traditional methods have not produced a suspect

- Use of non-governmental databases containing
- ...high density genetic data that enables
  - e.g., data from genotyping array with 500K-1M SNPs
- ...long range familial searching paired with
- ...traditional genealogical methods that
- ...generates investigative leads requiring CODIS confirmation



Image: *Slate* magazine





# Databases that enable IGG

## DTC companies

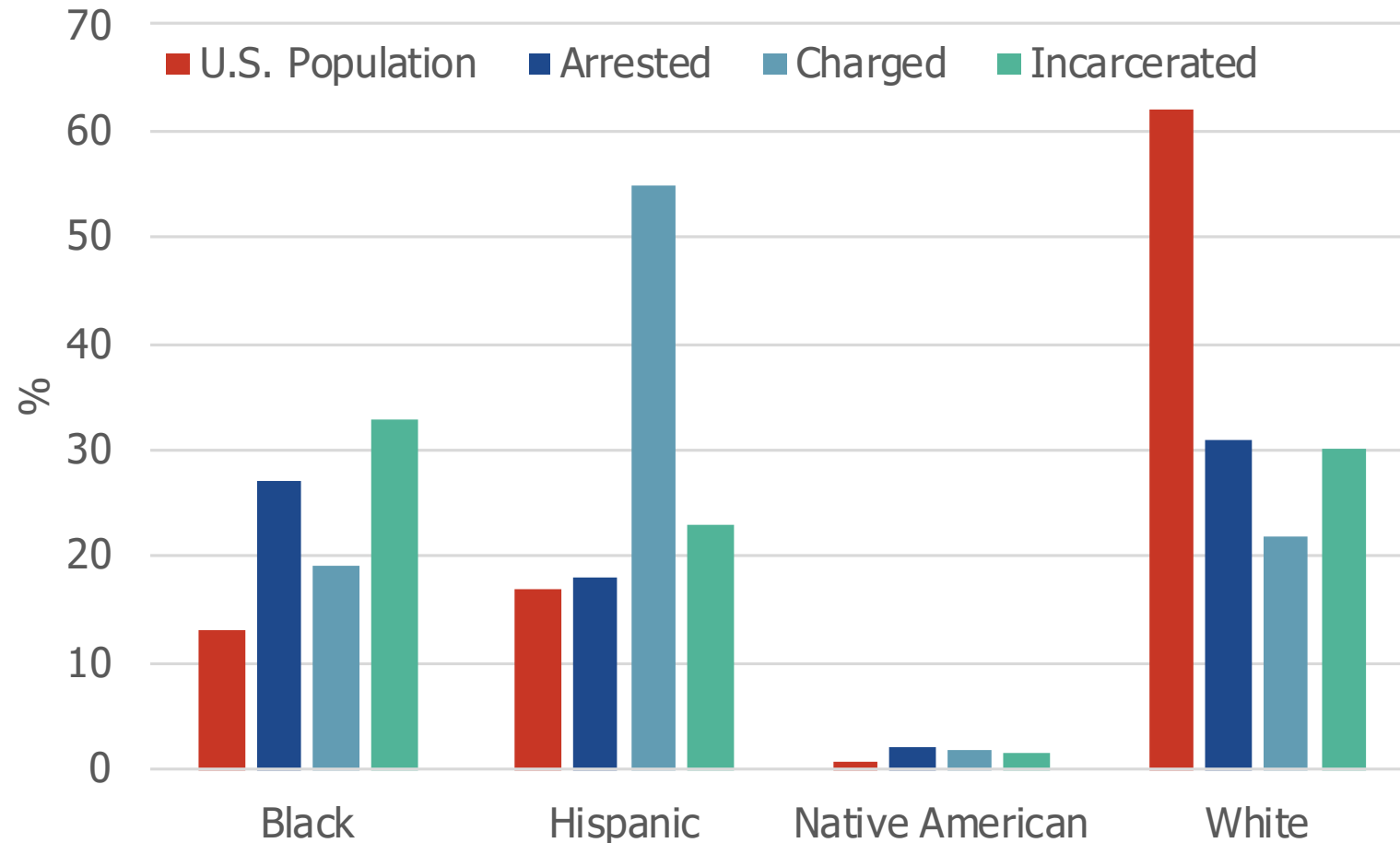
- Family Tree DNA
  - Only DTC company explicitly cooperating with law enforcement (LE) requests
  - Customers automatically opted in, unless EU resident
- My Heritage requires court order for LE usage
- 23andMe and AncestryDNA report to actively resist LE requests, including subpoenas
  - Both 23andMe and Ancestry DNA maintain transparency reports disclosing LE requests

## Third-party tools



- Users upload “raw” data files from DTC companies
- GEDmatch - publicly available genealogy site
- Apparently responsible for most of IGG

## Communities of color disproportionately represented in governmental DNA databases



# GEDmatch in flux

- April 2018 – GEDmatch reported as tool used to crack GSK case
- May 2018 – explicitly allow data upload by LE
  - for violent crimes only: homicide and sexual assault
- May 2019 – changed TOS to require opt-in for use by LE
  - Also expanded definition of violent crime
  - Reduced available search space from >1M to <200K
- Nov 2019 – Florida judge issues search warrant for GEDmatch
  - So does opt-in/opt-out even matter?
- Dec 2019 – GEDmatch bought by forensic genomics company Verogen

# THANK YOU!

DISCUSSION, Q&A

 @blueyedgenes  myopenreadingframe.com  sarahcn@uw.edu